

RL360°

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In this section, tell us how your funds were accumulated. It is important that you complete this section so that we can meet Isle of Man anti-money laundering requirements.

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In this section you must agree to the plan terms and conditions and sign where appropriate.

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COMPLETION

Please complete this form using BLOCK CAPITALS throughout. Please tick boxes where applicable and follow the instructions provided in each section. Please use Section 10 - Application Checklist before submitting your application, to make sure that you provide us with everything we need to process your application.

Specified US Person means a US citizen or tax resident individual who has a US residential/correspondence address or who either holds a US Passport, a US Green Card or who was born in the US and has not yet renounced their US citizenship. More information on US FATCA can be found at: www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA

If you choose Yes to being a Specified US Person, you will need to provide us with your US Taxpayer Identification Number (TIN) or US Social Security Number (SSN).

If you choose No but you have a US residential/correspondence address, hold a US Passport, a US Green Card or you were born in the US, you will need to provide us with documentary evidence that you are in the process of or have renounced your US Citizenship. RL360 can accept a certified copy of your DS-4083 form (also known as CLN - Certificate of Loss of Nationality) and/or a certified copy of your passport in which you are obtaining new citizenship.

A copy of the completed application and the plan Terms and Conditions are available on request. You should be aware that your plan could be brought to an end if you fail to tell us any facts which might influence our assessment of your application. If you have any doubt as to whether a fact is relevant, then you should disclose it to us.

We will accept emailed or faxed scans of applications. However, we reserve the right to request original documents in some circumstances, so these must be retained in your records. Where the policyholder is resident in Africa, we will still require original signed instructions.

Once you have completed and signed the application, you should send it along with all requested additional information to: newbusiness@rl360.com or alternatively post it to: New Business Team, RL360, International House, Cooil Road Douglas, Isle of Man, IM2 2SP, British Isles.

Please note that the start date of your plan may be delayed if you fail to complete this application in full or provide suitable evidence where required.

Remember, if you need any help, our Regional Support teams are on hand to guide you by telephone or by email.

All references to RL360 within this application form mean RL360 Insurance Company Limited.

All references to PIMS within this application form mean Personal Investment Management Services.



You should provide us with verification of your identity and current residential address (see page 11 for details). Applicant 1 Applicant 2 Sex (please tick) Male Female Male Female Title (please tick) Mrs Miss Miss Other (in full) Other (in full) First name(s) Last name(s) Date of birth (dd/mm/yyyy) Country of birth Nationality Country of residence for tax purposes Are you a Specified US Person? No Yes No Yes Tax Identification Number (TIN) If unavailable, provide a functional equivalent (e.g National Insurance Number, Social Security Number, Resident Registration Number). Current residential address and postcode (in full) Country Home telephone number Mobile telephone number Relationship to Applicant 1 Online services If you wish to access details of your plan online, you must supply us with the following information. Email address Password (You will only use this once. Please note that the password is case sensitive.) Password hint Correspondence details Please note that any correspondence we are required to send to you will be sent to the address you provide here. If no correspondence address is supplied we will use the current residential address of the first applicant. Address and postcode for correspondence Is this address for You Your financial adviser A friend A family member If the address for you differs to the residential address

please provide us with further details of the property.



There may be up to 6 lives assured added to the plan. At least 1 life assured must be younger than 75 when the plan starts. If either applicant is a life assured, tick the appropriate box below and proceed to Section 03 - Plan Requirements.

Applicant 1 is a life assured

Applicant 2 is a life assured

Life assured 2

Soy (placed tick)

	Life assured 1			Life assured 2		
Sex (please tick)	Male	Female		Male	Female	
Title (please tick)	Mr Mrs	Miss		Mr Mrs	Miss	
			Other (in full)			Other (in full)
First name(s)						
Last name(s)						
Date of birth (dd/mm/y	уууу)					
Nationality						
Current residential address and postcode (in full)						
Country						
Sex (please tick) Title (please tick)	Male Mr Mrs	Female		Life assured 4 Male Mr Mrs	Female	
			Other (in full)			Other (in full)
First name(s)						
Last name(s)						
Date of birth (dd/mm/	уууу)					
Nationality						
Current residential address and postcode (in full)						
Country						
Sex (please tick) Title (please tick)	Male Mr Mrs	Female Miss	Other (in full)	Life assured 6 Male Mr Mrs	Female Miss	Other (in full)
First name(s)			other (iii full)			Other (III Iuli)
Last name(s)						
Date of birth (dd/mm/y						
Nationality	, , , , , , , , , , , , , , , , , , , ,					
Current residential address and postcode (in full)						
Country						



Politically Exposed Persons

A Politically Exposed Person (PEP) is a person who is, or who has been, entrusted with prominent public functions. This also includes their close family members and their close associates. Examples of PEPs include political figures, member of the judiciary, diplomatic service officers, managers and supervisors of state owned enterprises and senior ranking military officers. Are you, any of your family members or any of your close associates a PEP? No If Yes, please provide the following details and complete the supplementary Source of Wealth Form. Surname Forename(s) Position held as PEP Country position held Dates position held From To If the PEP is a family member or close associate, please confirm the relationship The applicant(s) Employer Spouse Parent Other Who will fund the plan? If the payer is anyone other than the applicant(s), please refer to Section 10 - Application Checklist, Third party payments for further details. IMPORTANT: The following information MUST match the details shown on your Key Information Document. Plan currency EUR Please tick only one: **Payment** Please remember the minimum payment is GBP45,000 or currency equivalent. Please refer to the Product Guide for currency equivalent minimums. Where you are transferring assets please provide an estimated value. Your initial payment will be applied to your plan in the currency(ies) paid to us. (Currency and cash amount) Amount Asset transfer value (if any) (Existing assets to be added directly into your plan) Total payment

Segments

Please state your required number of segments. The minimum number of segments is 1 and the maximum is 999. If you leave this blank we will issue your plan with 100 segments.

Number of segments

IMPORTANT: some banking institutions may deduct charges for processing international payments. Please check with your bank if any charges will apply prior to transferring your payment to us. If they do, please make sure that the amount your bank transfers is enough, so that the remaining amount received is at least equal to the amount due.

Payments by telegraphic transfer or cheque

Please confirm the details of the bank that you will be making payment from.

If you want to use a Currency Exchange House to transfer your payment to us, please ensure that it has been approved by RL360 first. Please also provide your bank account details below from where the payment originates, along with a full audit trail to evidence the transfer to us.

Bank name		
Bank address and postcode		
Account holder's name		
Branch SWIFT code (for all non-GBP and international SWIFT code must be either 8 or 11		OR Bank sort code
IBAN/account number (all non-GBP accounts)		OR Account number (GBP UK Bank only)
Account held for	years months	

If you wish to use an investment adviser you should complete our Request to appoint Investment Adviser form, returning it along with your application. If you need additional space to complete this section, please use Section 07 - Additional Information.

The payment and any asset transfer value will be used to calculate the amount linked to each of your chosen assets. Asset transfers will be added into the plan directly.

Quick selection

	Please allocate my payment to the PIMS cash account (we will supply a Dealing Instruction at a later date)

Your PIMS assets

Please tell us the percentages of the payment that you want to be applied to each asset.

PIMS cash account (mandatory¹)					
You must place at least 2% of your total payment into the PIMS cash account					
Bank or building society name Cash deposit name					
		%			
ISIN or Sedol code	Asset manager	Asset name (including currency)	Percentage		
			%		
			%		
			%		
			%		
			%		
			%		
			%		
			%		
			%		
			%		
			%		
			%		
		Total	al 100%		

¹ Please be aware that asset managers may impose minimum amounts that they will allow to be sold or purchased. Any income from distributing assets will automatically be credited to your PIMS cash account.

SOURCE OF FUNDS DETAILS

The Isle of Man Financial Services Authority requires all Isle of Man life companies to make enquiries as to how an applicant has acquired the monies to be used as payment for their plan. This reflects the Isle of Man's commitment to maintain the highest possible standards of business practice and to counter money laundering and the financing of terrorism.

RL360 has adopted a risk-based approach to meet these regulations, categorising our products and countries that we will accept business from into Standard or Higher risk. We have categorised countries according to their level of compliance with international regulatory standards.

Full details on the source of funds procedures can be obtained from your financial adviser or can be downloaded from www.rl360.com/sourceoffunds.pdf.

You must complete the following details below in all cases and for both applicants as applicable.

	Applicant 1	Applicant 2
Annual salary plus bo	nuses	
Annual salary this year (include currency)		
Bonuses this year (include currency)		
Annual salary last year (include currency)		
Bonuses last year (include currency)		
Occupation		
Employer's company name		
Nature of business		
If you are retired please	e tell us your previous occupation, salary, employer and c	date of retirement.
Previous occupation		
Salary (include currency)		
Employer's company name		
Date retired (dd/mm/y	/ууу)	
Other unearned incon	ne	
Amount received (include currency)		
Received from		
Date received (dd/mm	1/уууу)	
Where your source of	funds for this application is from any of the following, p	please provide details.
Savings		
Amount received (include currency)		
Bank where savings were held		
How and for how long were the savings accumulated?		

	Applicant 1	Applicant 2
Pension transfer Amount received		
(include currency)		
(merade editeriey)		
Received from		
Date received (dd/mm	n/yyyy)	
Property or asset sale	•	
Amount received		
(include currency)		
Address of property		
sold or asset type		
How long held		
Date of sale (dd/mm/y	уууу)	
Company profits		
Profits this year		
(include currency)		
Profits last year		
(include currency)		
Industry		
C		
Company sale Amount received		
(include currency)		
Company name		
Company industry		
Date received (dd/mm	1/уууу)	
Other such as maturin		nce (for inheritance please state from who, for
Amount received		
(include currency)		
Source		
Date received (dd/mm	1/уууу)	

RL360 reserves the right to request further documentary evidence of source of funds should it be considered necessary.

REGULAR WITHDRAWALS

If you do not wish to set up regular withdrawals on the plan at this stage, please continue to Section 07 - Additional Information.

Please remember that the minimum regular withdrawal is GBP250 or currency equivalent. Regular withdrawals will be paid in the

plan currency unless you tell us otherwise in Section 07 - Additional Information. As fixed amount How do you want to take the Tell us the amount withdrawals? (choose only one) OR As a percentage Tell us the percentage of the total initial payment Half-yearly Withdrawal frequency Monthly Quarterly Yearly Termly Date of first withdrawal (dd/mm/yyyy) BACS Payment method BACS payments require up to three days to clear and can only be used for GBP payments to a UK bank account. A GBP20 (or currency equivalent) charge applies to payments made by TT. If you would like withdrawals to be paid back to the same bank account as detailed in Section 03 - Plan Requirements, please tick below. Otherwise please specify the bank account to be used to receive withdrawals. Payments can only be made to bank accounts in your name, as the applicant. Please use the bank account details in Section 03 - Plan Requirements. Bank name Bank address and postcode Account holder's name Branch SWIFT code **OR** Bank sort code (for all non-GBP and international payments) (for UK GBP payments only) SWIFT code must be either 8 or 11 digits IBAN/account number **OR** Account number (GBP UK Bank only) (all non-GBP accounts) Account held for months years ADDITIONAL INFORMATION If you have no additional notes, please continue to Section 08 - Declaration. Please use a separate page if you require more space.



Plan literature

I confirm that I have read a copy of the plan literature including the Product Guide, Key Information Document and Terms and Conditions.

My application

I confirm that all of the information provided in this application, along with any supporting forms, questionnaires, statements, reports or other information is true and complete.

Availability

I confirm that to the best of my knowledge and belief, I am not subject to any legislation that would make my investment into this plan unlawful.

Illustration

I understand that my Illustration is not guaranteed by RL360 or my adviser, and only offers an indication of what I might get back under a limited number of scenarios. I accept that RL360 is not responsible for monitoring whether my plan's performance matches the assumptions made in my Illustration.

Key Information Document (KID)

I confirm that I have included a signed KID with this application. I understand that the KID sets out the details of my plan, and by signing it I acknowledge that I am aware of the charges that will be deducted. I am also aware that the details that I have provided in Section 03 – Plan Requirements must match my signed KID. If they are different RL360 will ask me to sign a new KID matching Section 03 – Plan Requirements before it can allow my plan to start.

Investment

I am aware that RL360 does not provide investment advice. I request that RL360 allocates the payment to the assets detailed in Section 04 – Asset Requirements. In order for RL360 to do this, I confirm the following:

- a) I agree to RL360 acting on dealing instructions received from me or the appointed investment adviser, and I will read the documentation issued by the asset manager for each asset prior to selecting it for the plan.
- b) Where the payment is allocated in full or in part to any cash deposit account(s), I am aware that I may not be covered by any depositors compensation scheme should the deposit account provider become insolvent. I am aware that this is because RL360 holds this account on my behalf.
 - I acknowledge the risks associated with linking a cash deposit account to the plan and accept that in the event of the insolvency of my chosen deposit account provider, RL360 will have no responsibility for any loss and I could lose the full amount invested.
 - I am aware and acknowledge that the deposit account provider I choose to invest with may not be an institution or subsidiary with which RL360 would normally hold balances or deposits.
- c) I am aware that some assets (including cash deposits) may have terms and conditions that could:
 - i) restrict RL360 from realising a cash value when requested and prevent RL360 paying out benefits from the plan in a timely fashion.
 - ii) result in RL360 receiving the cash value from a sale in multiple instalments. If this should happen RL360 has the right not to re-invest or pay in full, benefits from the plan until the amount has been received in full.
 - iii) result in RL360 receiving a payment from a sale by a means other than cash. If this should happen RL360 may require us to cancel some or all of the plan.
 - iv) result in RL360 having to pay back some or all of the sale proceeds if an adjustment has to be made after the payment. If RL360 is required to make such a repayment and the plan value is too low to cover it, or I have cancelled the plan, I agree to compensate RL360 for any loss that it has suffered as a result.
- d) I accept that RL360 has the right to sell assets linked to the plan without requiring my permission. RL360 may do this if it decides that an asset may have harmful legal or tax consequences under law.
- e) I am aware that there may be fees to pay when RL360 sells one or more of the assets linked to the plan. Any fees due when selling an asset should be detailed by the asset manager in the asset documentation.
- f) I confirm that I am aware of the fees that must be paid in relation to the chosen assets. I realise that these fees are required to cover the costs of promoting and distributing the assets, including any commission paid to my appointed adviser(s).

Applicants

- a) I confirm that I have the necessary powers to take out this plan and enter into a contract with RL360.
- b) I also confirm that my company has not been, and is not in the process of being, struck-off, dissolved, wound-up or terminated.
- c) I agree that I will notify RL360 in writing immediately when any of the directors, list of authorised signatories or trustees change.
- d) I agree that I will provide evidence of identity and current residential address when asked by RL360. I also acknowledge that RL360 can ask for an up-to-date authorised signatory list at any time.
- e) I am aware that RL360 is authorised to obtain a bank reference at any time.



Data protection

This form collects your personal data. We require your personal data so we can provide you with services relating to the performance of your plan. You may ask us to stop processing your data, however this may disrupt the services RL360 can provide to you or may stop us being able to assist you. To find out how long we will keep your data, please refer to our privacy policy at www.rl360.com/privacy. Any data you provide to RL360 may be shared, if allowed by law, with other companies both inside and outside of RL360 and to persons who act on your behalf. Data and information about you can be transferred outside of the Isle of Man and RL360 may be required to provide it to its regulator, its government or anyone else required by law.

RL360 will use your data and information to allow for the administration of your plan, prevent crime, prosecute criminals and for market research and statistics. RL360 will, at all times, make sure that your data and information is only used in ways that are allowed by law.

You can receive a copy of the information RL360 holds about you free of charge by writing to our Data Protection Officer at: RL360, International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles, or by emailing dpo@rl360.com. We can reserve the right to not send you your personal data in some circumstances - if we do we will write to you setting out the reasons why.

Our full privacy policy can be viewed at www.rl360.com/privacy or can be obtained by requesting a copy from our Data Protection Officer.

Legal

I agree to my plan being governed by Isle of Man law and to the Isle of Man Courts having the right to decide any case that maybe brought in relation to my plan.

Cancellation

I am aware that I have the right to cancel my plan as detailed in the Key Information Document. I understand that the amount I get back may be less than what I paid where my selected assets have fallen in value. I am aware that to cancel my plan I will need to complete the Cancellation Notice and return it to RL360.

Final agreement

I agree to the following documents forming the basis of the contract between me and RL360:

- This Application Form
- My Key Information Document
- The Terms and Conditions
- The Plan Schedule
- Any Endorsement to the Plan Schedule.

I accept that RL360 can bring my plan to an end if I have failed to detail any facts that may influence the decision to accept this application

this application.			
Financial adviser I have appointed		(company name) to act as my financial ac	dviser.
-	isclosing all information relating to my plan le my appointed financial adviser.	to my appointed financial adviser. I will let RL360 know in w	riting
I confirm that this a	pplication was signed in (give country)		
	Applicant 1	Applicant 2	
Signed			
Date (dd/mm/yyyy			



This section is to be completed by your financial adviser.

The RL360 adviser number can be obtained from your regional office.

Company name	
RL360 adviser number	
Financial adviser's stamp (if this does not state an address, please complete company address details too)	
Full name	
Online services username	
(if registered)	
(II registered)	
Email address	
Signed	
Date (dd/mm/yyyy)	

APPLICATION CHECKLIST

This checklist will help make sure you have provided everything we need to process your application.

Verification of identify - must be provided for all applicants

Please send a **suitably certified copy** of your passport, national identity card or drivers licence showing your photograph(s) and signature - if you are unable to provide either of these pieces please provide a reason why and contact us to discuss other acceptable documents before sending in your application.

Applicant 1			Applicant 2				
I have provided	identification (pleas	se tick to confirm)	I have provided identification (please tick to confirm)				
If you are unable to	orovide ID please co	nfirm why below:	If you are unable	e to provide ID please confirm why below:			
Please send a suitab any of the documen why no documents a	oly certified copy of ts listed below, plea are available. The do	se complete our confirmat	ng documents fo tion of residentia	r each applicant. If you are unable to provide all address form to provide us with reasons cuments can be obtained and can be found at			
Applicant 1 (please tick which documents you have sent us)	Applicant 2 (please tick which documents you have sent us)	Type of document		Conditions			
		A recent account statement from a regulated bank, building society or credit card company		The document must be no more than 6 months old			
		A recent mortgage staten regulated lender	nent from a	If the statement or bill has been issued electronically, it must clearly show the			
		A recent rates, council tax or utility bill (mobile phone bills are not acceptable)		address of your property			
		Correspondence from a c government agency	entral or local	The document should be no more than 6 months old, or the most recent version where issued annually			
		A photographic driving lie	cence	The document must be in date and valid			
		A photographic national i	dentity card	The same document cannot be used to evidence your identity			
		A full tenancy agreement		The agreement must be in date The agreement must be signed by all parties			
		Proof of ownership of your property, such as lawyer's confirmation of a property purchase or a legal document recognising title to the property		The document must be signed by all appropriate parties			
				on who can suitably certify your documentation ines-for-individual-applicants.pdf			
Confirmation of plan Please make sure you Information Docume	ou have completed S	Section 03 - Plan Requirem	nents and have ir	ncluded a signed Illustration and Key			
I have provided	my plan requiremen	ts and can confirm that the	ey match my Key	Information Document (please tick to confirm).			
I have included	a signed Illustration	and Key Information Docu	ument (please tid	ck to confirm).			

PAYMENT METHODS

Your payment can be paid using any of the following methods.

Telegraphic transfer

If you are paying into your plan by telegraphic transfer please instruct your bank to quote your name as a reference.

Your payment must come from the bank account you have detailed in Section 03 - Plan Requirements.

Please make your payment to RL360 Insurance Company Limited through the appropriate bank below.

Ссу	SWIFT code	IBAN	Sort code	Account number	Bank name	Account name
AUD		GB45 CITI 1850 0813 1419 34		13141934	Citibank, London (all accounts)	RL360 Insurance Company Limited (all accounts)
CHF		GB26 CITI 1850 0813 1418 88		13141888		
EUR	CITIGB2LXXX (all accounts)	GB20 CITI 1850 0813 1418 02		13141802		
GBP		GB34 CITI 1850 0813 1420 35	18-50-08 (all accounts)	13142035		
HKD		GB10 CITI 1850 0813 1416 91	(an accounts)	13141691		
JPY		GB26 CITI 1850 0813 1415 00		13141500		
USD		GB54 CITI 1850 0813 1415 78		13141578		

Bank address

The bank address for all the above accounts is: Citibank, Citigroup Centre, Canada Square, Canary Wharf, London, E14 5LB, UK.

Cheque

Please send your cheque, made payable to RL360 Insurance Company Limited to RL360, International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles.

Your cheque must come from the bank account you have detailed in Section 03 - Plan Requirements.

Please note that GBP cheques can take up to five working days to clear. Other currency cheques may take considerably longer to clear.

Who is this form for?

				and the second second								
\/\/ <i>c</i>	can on	IV accent	Written ir	nstructions 1	that have	heen	sidned hy	v all	OW/ners	triistaas	or authorised	l signatories
A A C	, carr orr	y accept	. VVIIICCCII II	1311 46110113	tilat liave	DCCII	JIGITCG D	у Спп	O VVIICIO,	ti dotteto	or authorised	i bigilatorics.

Please complete in BLOCK capitals throughout.	
Plan application dated:	
I wish RL360 to pay.	
Financial advi company nai address and RL3 adviser num (if know	me, 360 ber
 Important notes 1. RL360 cannot be held responsible for any future tax liability that may accrue to the adviser as a result of a failure to levy tax where it later transpires that it should have been charged. The adviser is responsible for deciding whether or not the service are providing is subject to any additional taxes. 	
2. The payment will commence from the date we receive your completed form. It will be calculated and paid each quarter from plan anniversary.	the
3. The value of any additional payments made to the plan will be treated as part of its value when the financial adviser payment calculated.	: is
4. This agreement shall be subject to, and interpreted in, accordance with the laws of the Isle of Man.	
5. I confirm that we will inform RL360 in writing should we wish to terminate this payment. Any payment accrued to the point where the payment is terminated will be deducted from the plan and paid to the financial adviser. No further financial adviser payment will be taken.	r
6. I understand that, as a result of my request, RL360 may have to alter the Terms and Conditions of my contract to facilitate a payment to my financial adviser. I request that all required changes are made to my Terms and Conditions and they are effective immediately.	
Financial adviser payment % per year, paid quarterly in arrears as percentage of my plan value (the payment should not be more than 1.5% per year).	
Important notes1. Where this payment is used in conjunction with an investment adviser payment, the two payments combined cannot be more than 2% per year.	е
2. Where the plan owner and financial adviser is domiciled outside of the United Kingdom, RL360 will deduct a financial advise "charge" from the plan. This will not affect any 5% annual withdrawal allowance as it will not be classed as a withdrawal from plan.	
3. Where either the plan owner and/or financial adviser is domiciled in the United Kingdom, RL360 will deduct a financial advise "fee" from the plan, which will affect any 5% withdrawal allowance as it is classed as a withdrawal from the plan.	er
Applicant 1 Applicant 2	
Signed	
Full name	

Date (dd/mm/yyyy)

Who is this form for?

This form is for applicants who wish to request RL360 appoint an investment adviser to their plan. Investment advisers may act on a discretionary or non-discretionary basis. This is your choice and an agreement that you must make with your investment adviser. They will have the power to place dealing instructions on your behalf.

Completing this form

We can only accept written instructions that have been signed by all owners, trustees or authorised signatories.

Please complete in BLOCK capitals throughout.

SECTION 1 INVESTMENT ADVISER APPOINTMENT	
Applicant(s) to complete	
Plan reference:	
I wish to request RL360 appoint	
	Investment adviser company name, address and RL360 adviser number
	(if known)
to act in the capacity of an investment adviser to my plan.	
I understand that my investment adviser will be able to act on my behalf, subject to to below, to advise on and change the assets to which the value of my plan is linked. I a (RL360) to release all relevant information relating to my plan to my investment adviser.	uthorise RL360 Insurance Company Limited
I understand that RL360 is not responsible for any loss or liability incurred to my plants, my appointed investment adviser. I also understand that RL360 is not responsible my plan.	
I confirm that all communications in relation to dealing instructions should be directed	ed to my investment adviser.
I understand that, as a result of my request, RL360 may have to alter the Terms and a payment to my investment adviser. I request that all required changes are made to effective immediately.	-
Please confirm on what basis you wish your investment adviser to be appointed, non the appropriate box below.	-discretionary or discretionary by ticking
I confirm that my investment adviser will be acting on a non-discretionary basis. It to RL360 after my investment adviser has consulted me. My investment adviser has necessary regulatory authorisations in order to perform this role. I understand that my investment adviser has consulted with me, prior to acting on any instructions in	as confirmed to me that they have the at RL360 is not required to obtain proof that
I confirm that my investment adviser will be acting upon a discretionary basis. Dea without my consent. My investment adviser has confirmed to me that they have the order to perform this role.	
I request RL360 to make a payment to the investment adviser of my plan in line with	the following:
Investment adviser payment	
% per year, taken quarterly as a percentage of my plan value (the payment sl	hould not be more than 1.5% per year).

REQUEST TO APPOINT AN INVESTMENT ADVISER CONTINUED

Important notes

- 1. Where this payment is used in conjunction with a financial adviser payment, the two payments combined cannot be more than 2.0% per year.
- 2. RL360 will deduct an investment adviser "charge" from the plan. This will not affect any 5% annual withdrawal allowance as it will not be classed as a withdrawal from the plan.

Privacy policy

Our full privacy policy can be viewed at www.rl360.com/privacy or can be obtained by requesting a copy from our Data Protection Officer.

Disclaimer

I am aware that for as long as I have an appointed investment adviser I will be unable to access online dealing facilities.

The payment will commence from the date we receive your completed form. It will be calculated and paid each quarter from the plan anniversary.

I confirm that should I change my investment adviser, or bring this agreement to an end in the future, I agree to inform RL360 in writing immediately.

I acknowledge that RL360 has the right to reject the appointment of my investment adviser at its discretion.

I agree that I am solely responsible for the appointment of an investment adviser to my plan and that I am responsible for ensuring that they have appropriate experience, and/or qualifications and permissions to provide me with investment advice.

I acknowledge that RL360 is not liable for the performance or conduct of my investment adviser, or for ensuring that they hold and continue to maintain any regulatory or legal permissions required to provide investment advice.

	Applicant I	Applicant 2
Signed		
Full name		
Date (dd/mm/yyyy)		
SECTION 2 INVESTMENT	ADVISER DETAILS AND CONDITIONS	
Investment adviser to complete		
Full name		
Online services username (if registered)		
Company name		
RL360 adviser number		
Investment adviser company address		
Email address		
Telephone number		
Fax number		

If you do not have Terms or Business with RL360, please contact your Regional Sales Manager before submitting this form.

REQUEST TO APPOINT AN INVESTMENT ADVISER CONTINUED

In accepting the appointment of investment adviser to the above stated plan, I agree to the following terms and conditions:

- 1. All instructions relating to the purchase, sale or switching of assets will be in respect of any asset agreed by RL360 as being eligible to the plan.
- 2. All instructions should be provided in a format agreed by RL360.
- 3. RL360 will purchase, sell or switch assets at the relevant market price as available at the time of placing an instruction.
- 4. RL360 has the right to accept or reject any instruction from the investment adviser at its own discretion.
- 5. The investment adviser must maintain such authorisation as is necessary to act as an investment adviser under legislation and regulation in the country in which advice is given.
- 6. RL360 and the plan owner cannot be held responsible for any future tax liability, that may accrue to the investment adviser, as a result of a failure to levy tax where it later transpires that it should have been charged. The investment adviser is responsible for deciding whether or not the service they are providing is subject to the levy of any additional taxes.
- 7. RL360 has the right to remove the investment adviser from the plan, without specifying a reason, and on giving one month's written notice to the plan owner and the investment adviser.
- 8. The investment adviser may resign their appointment by giving written notice to the plan owner and RL360. RL360 will remove the investment adviser from the plan as soon as the notification is received.
- 9. The appointment will cease immediately upon written notification of bankruptcy, dissolution, or insolvency of the investment adviser, or any composition with creditors, or if the investment adviser is in breach of any regulatory requirements, or it becomes illegal for the investment adviser to act in this capacity.
- 10. This appointment and agreement shall be subject to, and interpreted in, accordance with the laws of the Isle of Man.
- 11. RL360 will not be liable in the event that the appointed investment adviser or the plan owner fails to notify RL360 of any material factor affecting the above.

Please submit a current certified copy of your company's authorised signatory list with this form. If you have an additional list for persons authorised to sign dealing instructions, please also submit a certified copy with this form.

	investment adviser		
Signed			
Date (dd/mm/yyyy)			

RL360 Insurance Company Limited

T +44 (0)1624 681681

E csc@rl360.com

Registered Office: International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles. Registered in the Isle of Man number 137548C. RL360 Insurance Company Limited is authorised by the Isle of Man Financial Services Authority.

PML03e 11/23

HELPING YOU TO PROTECT AND GROW YOUR WEALTH

