

GUIDANCE NOTES FOR WRAP ADVISERS

COMPLETING RL360 TERMS OF BUSINESS APPLICATION FORM (RL263A 01/19)

COMPLETING THE RL360 TERMS OF BUSINESS APPLICATION

RL360 only requires FCA authorised UK financial advisers to complete the following sections:

Section 1	Applicant details
Section 2	Directors/Shareholders
Section 3	Beneficial Owner
Sections 4/5/6	Leave these sections blank or write N/A
Section 7	Both Sections A and B need signatures

UK FCA regulated advisers **do not** need to provide any the following supporting documentation.

- Certified copy of passport for all owner(s) director(s) or partner(s)
- Address verification for owner(s)/director(s)/ partner(s)
- Professional qualification certificates
- Certificate of Incorporation

SUBMITTING A COMPLETED RL360 TERMS OF BUSINESS APPLICATION FORM

Before you send the original forms and documentation to us by post, you can e-mail them to wrapnewbusiness@rl360.com.

Please also copy in the relevant Wrap Partner(s).

When we receive your email, we will confirm if there are any outstanding requirements. If there are none, you can send original documents to us by post at: RL360, International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles.

Subject to us receiving full and satisfactory documentation, we will endeavour to set your new agency up within 24 hours.

If you require any assistance or have any queries in completing our Terms of Business Application Form please contact:

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